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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/014,874
Filing Date	December 14, 2001
First Named Inventor	Jonathan Edwards
Group Art Unit	2171
Examiner Name	
Attorney Docket Number	19903.0011

Total Number of Pages in This Submission

20

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Statement Claiming Small Entity Status

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Form 1449; one Reference and duplicate of IDS Statement

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Michael A. Schwartz, Reg. No. 40,161

Signature

Michael A. Schwartz

Date

March 18, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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Signature

Date

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Attorney Docket : 19903.0019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jonathan Edwards, et al.

Application No.: 10/014,874

Filed: December 14, 2001

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Art Unit: 2171

Examiner:

#2
RECEIVED
MAR 21 2002
Technology Center 2100

For: METHOD AND SYSTEM FOR DETECTING COMPUTER MALWARES BY SCAN OF
PROCESS MEMORY AFTER PROCESS INITIALIZATION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

☐ U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

☐ A concise explanation of the relevance of the non-English language references is attached:

☐ The relevance of the references is indicated on the enclosed copy of the ____ Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

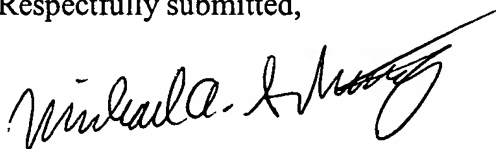
- X before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).
- ☐ The Commissioner is hereby authorized to charge Deposit Account 19-5127, Order No. _____ in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).
- ☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.
- ☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127, Order No. 19903.0011. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



Michael A. Schwartz, Reg. No. 40,161
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Date: March 18, 2002

FORM PTO-1449

Attorney Docket:

Application No.:

19903.0011

10/014,874

INFORMATION DISCLOSURE CITATION

Applicant:

Examiner:

Jonathan Edwards

Filing Date:

Group Art Unit:

December 14,
2001

2171

**U.S. PATENT DOCUMENTS**

Examiner Initial	Ref. No	Document Number	Date	Name	Class	Sub-Class	Filing Date
		5,696,822	Dec. 9, 1997	Nachenberg			

RECEIVED**MAR 21 2002****Technology Center 2100****FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub-Class	Translation Yes/No

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

Examiner

Date Considered

Examiner: Initial if reference consider, whether or not citation is in conformance with MPEP §609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Application